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17397 U.S. PTO
10/658870
09/09/03

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|---|--|------------------------|--|
| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. | 6453P008 |
| | | First Inventor | Shogo Oneda, et al. |
| | | Title | IMAGE CODER AND IMAGE DECODER CAPABLE OF POWER-SAVING CONTROL IN IMAGE COMPRESSION AND DECOMPRESSION |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Express Mail Label No. | EV 336582306 US |

| | | | |
|--|--|-------------|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents | | ADDRESS TO: | Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <i>[Total Pages 39]</i> (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <i>[Total Sheets 20]</i></p> <p>5. Oath or Declaration (unsigned) <i>[Total Pages 6]</i> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Application Amended to Reflect Claim of Priority</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <u>-2 cited references</u></p> | | | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

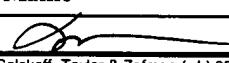
Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application Information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | | | |
|---|---|--|----------------|----------|----------------|
| <input checked="" type="checkbox"/> Customer Number | 08791 | <input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below | | | |
| Name | Michael J. Mallie Blakely, Sokoloff, Taylor & Zafman LLP | | | | |
| Address | 12400 Wilshire Boulevard, Seventh Floor | | | | |
| City | Los Angeles | State | California | Zip Code | 90025-1030 |
| Country | USA | Telephone | (408) 720-8300 | Fax | (408) 720-8383 |

| | | | |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Michael J. Mallie | Registration No. (Attorney/Agent) | 36,591 |
| Signature |  | | |
| Date | 7/7/03 | | |

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 1,032.00)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | To be assigned |
| Filing Date | September 9, 2003 |
| First Named Inventor | Shogo Oneda |
| Examiner Name | To be assigned |
| Group/Art Unit | To be assigned |
| Attorney Docket No. | 6453P008 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Paid |
|--------------|----------|--------------|----------|------------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1001 | 750 | 2001 | 375 | Utility filing fee |
| 1002 | 330 | 2002 | 165 | Design filing fee |
| 1003 | 520 | 2003 | 260 | Plant filing fee |
| 1004 | 750 | 2004 | 375 | Reissue filing fee |
| 1005 | 160 | 2005 | 80 | Provisional filing fee |
| SUBTOTAL (1) | | (\$ 750.00) | | |

2. EXTRA CLAIM FEES

| Total Claims | 31 | 20* = | 11 | X | 18.00 | = | \$198.00 |
|--------------------|----|-------|----|---|-------|---|----------|
| Independent Claims | 4 | 3* = | 1 | X | 84.00 | = | \$84.00 |

Multiple Dependent

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple Dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ 282.00) | | |

*or number previously paid, if greater. For Reissues, see below

3. ADDITIONAL FEES

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|---------------------|----------|----------|--------------|--|----------|-----------------|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| 2053 | 130 | 2053 | 130 | Non-English specification | | | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | | | |
| 1804 | 920 * | 1804 | 920 * | Requesting publication of SIR prior to Examiner action | | | |
| 1805 | 1,840 * | 1805 | 1,840 * | Requesting publication of SIR after Examiner action | | | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | | | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | | | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | | | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | | | |
| 1404 | 320 | 2401 | 160 | Notice of Appeal | | | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | | | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | | | |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | | | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | | | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | | | |
| 1502 | 470 | 2502 | 235 | Design issue fee | | | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | | | |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | | | |
| 1807 | 50 | 1807 | 50 | Prospecting fee under 37 CFR 1.17(q) | | | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| 1809 | 750 | 1809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | | | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | | |
| Other fee (specify) | | | | | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Michael J. Mallie | Registration No. (Attorney/Agent) | 36,591 | Telephone | (408) 720-8300 |
| Signature |  | | | Date | 9/1/03 |